Empower Results ${ }^{\circledR}$

# Area of Practice Supplement Application Entertainment / Sports / Fine Arts / Media / Public Figures Investment Counseling / Money Management 

N/A $\square$

1. Please indicate the percentage of the entertainment work derived from:
Film __ $\quad$ TV ___ $\%$ Music ___ $\%$ Sports___ Other___
2. Please provide the following details for all high profile, entertainment, sports, performers, publishers/authors, designers, fine arts, media or other public figure clients for whom legal services were provided in the past three (3) years:

| Client Name | Client's Field | Types and Dates of Legal <br> Services Provided | Still a client |
| :---: | :---: | :---: | :---: |
|  |  |  | $\square \mathrm{Yes} \square \mathrm{No}$ |
|  |  |  | $\square \mathrm{Yes} \square \mathrm{No}$ |
|  |  | $\square \mathrm{Yes} \square \mathrm{No}$ |  |
|  |  | $\square \mathrm{Yes} \square \mathrm{No}$ |  |
|  |  | $\square \mathrm{Yes} \square \mathrm{No}$ |  |

3. Has the Applicant Firm or any lawyer for whom coverage is sought:
i. Serve as a talent agent or manager and/or negotiate personal appearances or product endorsements for any of the Applicant's entertainment clients? . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . $\square$ Yes $\square$ No
ii. Or any related or controlled entity, represent both an entertainment client and any company with which the entertainment client has an agreement, relations or contract? $\square$ Yes
iii. Obtain and hold on file signed conflict waivers from all parties? . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . $\square$ Yes $\square$ No
iv. Have the authority to write or sign checks for any of the Applicant's entertainment clients? . . . . . . . . . . . . $\square$ Yes $\square$ No
v. Provide investment advice, organize, arrange or procure investments, real estate or tax shelters
for any of the Applicant's entertainment clients? . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . $\square$ Yes $\square$ No
vi. Receive commissions, fees, reciprocity, or revenue for the sale, promotion or recommendation
of investments or tax shelters? . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . $\square$ Yes $\square$ No
vii. Make recommendations as to the sale or purchase of specific stocks, bound or other securities? . . . . . . . . $\square$ Yes $\square$ No
viii. Participate in the management of any investment partnership, limited partnership or other investment
venture for any of the Applicant's entertainment clients? . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . $\square$ Yes $\square$ No
ix. Entered into any business relationship with any of the Applicant Firm's entertainment, sports, fine arts, media or other public figure clients other than for legal services?
Yes
$x$. Served as a trustee on behalf of any entertainment, sports, fine arts, media or other public figure clients? . $\square$ Yes $\square$ No
xi. A percentage of a transaction or deal as compensation for legal services?YesNo

APPLICANT UNDERSTANDS THE INFORMATION SUBMITTED HEREIN BECOMES A PART OF THE APPLICANT'S LAWYERS PROFESSIONAL LIABILITY INSURANCE APPLICATION AND IS SUBJECT TO THE SAME REPRESENTATIONS AND CONDITIONS.

## Sign and date in ink

Name of Firm:
$\qquad$ Title: $\qquad$ Date:

